

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/619,814 Confirmation No. 7651

Applicants : Yong Qin Chen, et al.

Filed : July 15, 2003

Title : COMPENSATING FOR CHROMATIC

DISPERSION IN OPTICAL FIBERS

TC/A.U. : 2874

Examiner : Sung H. Pak

Docket No. : 2219 Customer No.: 23320

Commissioner for Patents Post Office Box 1450

Alexandria, Virginia 22313-1450

Sir:

CERTIFICATION PURSUANT TO 37 C.F.R. §§ 1.97(c) and 1.97(e)

To fully discharge Applicants' duty of good faith and candor under 37 C.F.R. § 1.56 during prosecution of the patent application identified above, Applicants hereby:

- 1. identifies references on an accompanying "Information
 Disclosure Statement by Applicant" form PTO/SB/08B
 ("Statement"); and
- submits accompanying copies of the references identified therein.

I hereby certify that:

- 1. each item of information contained in the accompanying Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this statement; and
- 2. more than three (3) months prior to the filing of this

Statement:

- a. no item of information contained in the accompanying Statement was cited in a communication from a foreign patent office in a counterpart foreign application; and
- b. after making reasonable inquiry, no item of information contained in the Statement was known to any individual designated in § 1.56(c).

A copy of the foreign patent office's search report is attached hereto.

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Appl. No. 10/619,814 Response Dated March 16, 2006

Applicant believes that no fee is required for filing the accompanying "Information Disclosure Statement by Applicant" form PTO/SB/08B. However, if any fee is due, the Commissioner for Patents is hereby authorized to charge such fee to Deposit Account No. 19-0735. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted

Donald E. Schreiber Reg. No. 29,435

Dated: 16 March, 200 6

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Attorney for Applicants

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Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of

Complete if Known 10/619,814 **Application Number** July 15, 2003 Filing Date First Named Inventor Yong Qin Chen **Group Art Unit** 2874 **Examiner Name** Sung H. Pak Attorney Docket Number 2219

	U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.1	U.S. Paten	ti Document Kind Code ² (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials	or Cite No.1	Office ³	Number ⁴	Kind Code (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Floures Appear	Τ°
		GB	2 245	790 A	STC PLC	08-01-1992	Whole document	
		wo	02/0	3123 A2	Essex Corporation	01-10-2002	P. 9, ¶ 2 -	
							p. 10, ¶ 3	
							FIGs. 3-5, 7	
		WO	03/009	9032 A1	Essex Corporation	01-30-2003	P. 85, Line 10 -	
,							p. 87, line 28	
							Figs. 42-45	
					L .			

Examiner	Date	,
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. 6 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/619,814	
				Filing Date	July 15, 2003	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Yong Qin Chen	
•				Group Art Unit	2874	
	(use as ma	ny sheets	as necessary)	Examiner Name	Sung H. Pak	
Sheet	2	of	2	Attorney Docket Number	2219	

· · · · · · · · · · · · · · · · · · ·		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
		U.S. Patent Application Publication 2002/0012179 A1, Jan. 31, 2002 ¶¶ 0175 - 0181, ¶¶ 0196 - 0211, ¶¶ 0285 - 0287, ¶¶ 0293 - 0304	
		FIGs. 19, 20a, 20b, 28a-28f, 29, 32, 33a, 33b, 62, 63a-63c, 68, 69 Examples 70-73	
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Examiner Signature		Date Considered	

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